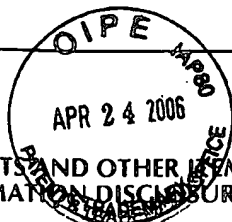


FORM PTO-1449

SET I

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
6046-101N7SERIAL NO.  
10/687,446APPLICANT:  
RONALD A. KATZFILING DATE:  
October 16, 2003GROUP:  
2643

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	Re. 32,115	04/15/1986	Lockwood et al.	_____	_____	_____
	2,575,606	11/20/1951	Wales et al.	_____	_____	_____
	2,957,567	10/25/1960	Doud	_____	_____	_____
	3,246,082	04/12/1966	Levy	_____	_____	_____
	3,253,689	05/31/1966	Thompson	_____	_____	_____
	3,445,633	05/20/1969	Ratner	_____	_____	_____
	3,609,250	09/28/1971	Morris	_____	_____	_____
	3,622,995	11/23/1971	Dilks et al.	_____	_____	_____
	3,705,384	12/05/1972	Wahlberg	_____	_____	_____
	3,794,774	02/26/1974	Kemmerly et al.	_____	_____	_____
	3,881,060	04/29/1975	Connell et al.	_____	_____	_____
	3,909,553	09/30/1975	Marshall	_____	_____	_____
	4,037,250	07/19/1977	McGahan et al.	_____	_____	_____
	4,070,698	01/24/1978	Curtis et al.	_____	_____	_____
	4,090,038	05/16/1978	Biggs	_____	_____	_____
	4,137,429	01/30/1979	Stockdale	_____	_____	_____
	4,141,006	02/20/1979	Braxton	_____	_____	_____
	4,150,254	04/17/1979	Schussler et al.	_____	_____	_____
	4,173,024	10/30/1979	Miller	_____	_____	_____
	4,186,438	01/29/1980	Benson et al.	_____	_____	_____
	4,190,819	02/26/1980	Burgyan	_____	_____	_____
↓	4,193,114	03/11/1980	Benini	_____	_____	_____
SW	4,194,242	03/18/1980	Robbins	_____	_____	_____

EXAMINER:

/Stella Woo/

DATE CONSIDERED:

12/20/2006

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE: October 16, 2003	GROUP: 2643
(Use several sheets if necessary)			

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	4,247,759	01/27/1981	Yuris et al.	_____	_____	_____
	4,262,333	04/14/1981	Horigome et al.	_____	_____	_____
	4,270,042	05/26/1981	Case	_____	_____	_____
	4,289,930	09/15/1981	Connolly et al.	_____	_____	_____
	4,346,442	08/24/1982	Musmanno	_____	_____	_____
	4,359,631	11/16/1982	Lockwood et al.	_____	_____	_____
	4,360,345	11/23/1982	Hon	_____	_____	_____
	4,360,827	11/23/1982	Braun	_____	_____	_____
	4,393,277	07/12/1983	Besen et al.	_____	_____	_____
	4,412,287	10/25/1983	Braddock, III	_____	_____	_____
	4,424,572	01/03/1984	Lorig et al.	_____	_____	_____
	4,449,186	05/15/1984	Kelly et al.	_____	_____	_____
	4,450,477	05/22/1984	Lovett	_____	_____	_____
	4,451,701	05/29/1984	Bendig	_____	_____	_____
	4,493,948	01/15/1985	Sues et al.	_____	_____	_____
	4,496,943	01/29/1985	Greenblatt	_____	_____	_____
	4,528,643	07/09/1985	Freeny, Jr.	_____	_____	_____
	4,553,222	11/12/1985	Kurland et al.	_____	_____	_____
	4,559,415	12/17/1985	Bernard et al.	_____	_____	_____
	4,566,030	01/21/1986	Nickerson et al.	_____	_____	_____
	4,567,359	01/28/1986	Lockwood	_____	_____	_____
↓	4,577,067	03/18/1986	Levy et al.	_____	_____	_____
SW	4,591,906	05/27/1986	Morales-Garza et al.	_____	_____	_____

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	<b>APPLICANT:</b> RONALD A. KATZ	
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	4,789,863	12/06/1988	Bush	_____	_____	_____
	4,789,928	12/06/1988	Fujisaki	_____	_____	_____
	4,791,666	12/13/1988	Cobb et al.	_____	_____	_____
	4,794,530	12/27/1988	Yukiura et al.	_____	_____	_____
	4,799,156	01/17/1989	Shavit et al.	_____	_____	_____
	4,805,134	02/14/1989	Calo et al.	_____	_____	_____
	4,807,023	02/21/1989	Bestler et al.	_____	_____	_____
	4,823,265	04/18/1989	Nelson	_____	_____	_____
	4,825,457	04/25/1989	Lebowitz	_____	_____	_____
	4,833,710	05/23/1989	Hirashima	_____	_____	_____
	4,843,377	06/27/1989	Fuller et al.	_____	_____	_____
	4,845,636	07/04/1989	Walker	_____	_____	_____
	4,845,739	07/04/1989	Katz	_____	_____	_____
	4,852,154	07/25/1989	Lewis et al.	_____	_____	_____
	4,873,662	10/10/1989	Sargent	_____	_____	_____
	4,876,597	10/24/1989	Roy et al.	_____	_____	_____
	4,876,648	10/24/1989	Lloyd	_____	_____	_____
	4,885,685	12/05/1989	Wolfberg et al.	_____	_____	_____
	4,893,248	01/09/1990	Pitts et al.	_____	_____	_____
	4,893,325	01/09/1990	Pankonen et al.	_____	_____	_____
	4,893,326	01/09/1990	Duran et al.	_____	_____	_____
↓	4,897,867	01/30/1990	Foster et al.	_____	_____	_____
SW	4,903,201	02/20/1990	Wagner	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	4,907,160	03/06/1990	Duncan et al.	_____	_____	_____
	4,910,676	03/20/1990	Allredge	_____	_____	_____
	4,916,435	04/10/1990	Fuller	_____	_____	_____
	4,922,520	05/01/1990	Bernard et al.	_____	_____	_____
	4,926,325	05/15/1990	Benton et al.	_____	_____	_____
	4,928,177	05/22/1990	Martinez	_____	_____	_____
	4,939,773	07/03/1990	Katz	_____	_____	_____
	4,947,028	08/07/1990	Gorog	_____	_____	_____
	4,951,196	08/21/1990	Jackson	_____	_____	_____
	4,954,886	09/04/1990	Elberbaum	_____	_____	_____
	4,955,052	09/04/1990	Hussain	_____	_____	_____
	4,962,473	10/09/1990	Crain	_____	_____	_____
	4,965,825	10/23/1990	Harvey et al.	_____	_____	_____
	4,972,318	11/20/1990	Brown et al.	_____	_____	_____
	4,980,826	12/25/1990	Wagner	_____	_____	_____
	4,989,233	01/29/1991	Schakowsky et al.	_____	_____	_____
	4,992,866	02/12/1991	Morgan	_____	_____	_____
	4,992,940	02/12/1991	Dworkin	_____	_____	_____
	5,020,129	05/28/1991	Martin et al.	_____	_____	_____
	5,021,953	06/04/1991	Webber et al.	_____	_____	_____
	5,032,989	07/16/1991	Tornetta	_____	_____	_____
↓	5,042,062	08/20/1991	Lee et al.	_____	_____	_____
SW	5,053,956	10/01/1991	Donald et al.	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	5,060,068	10/22/1991	Lindstrom	_____	_____	_____
	5,061,916	10/29/1991	French et al.	_____	_____	_____
	5,063,507	11/05/1991	Lindsey et al.	_____	_____	_____
	5,065,393	11/12/1991	Sibbitt et al.	_____	_____	_____
	5,072,103	12/10/1991	Nara	_____	_____	_____
	5,077,665	12/31/1991	Silverman et al.	_____	_____	_____
	5,077,788	12/31/1991	Cook et al.	_____	_____	_____
	5,093,718	03/03/1992	Hoarty et al.	_____	_____	_____
	5,101,353	03/31/1992	Lupien et al.	_____	_____	_____
	5,109,399	04/28/1992	Thompson	_____	_____	_____
	5,109,414	04/28/1992	Harvey et al.	_____	_____	_____
	5,117,354	05/26/1992	Long et al.	_____	_____	_____
	5,136,501	08/04/1992	Silverman et al.	_____	_____	_____
	5,136,581	08/04/1992	Muehrcke	_____	_____	_____
	5,151,782	09/29/1992	Ferraro	_____	_____	_____
	5,164,979	11/17/1992	Choi	_____	_____	_____
	5,164,982	11/17/1992	Davis	_____	_____	_____
	5,168,446	12/01/1992	Wiseman	_____	_____	_____
	5,170,427	12/08/1992	Guichard et al.	_____	_____	_____
	5,191,410	03/02/1993	McCalley et al.	_____	_____	_____
V	5,191,613	03/02/1993	Graziano et al.	_____	_____	_____
	5,193,056	03/09/1993	Boes	_____	_____	_____
SW	5,202,759	04/13/1993	Laycock	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	5,204,670	04/20/1993	Stinton	_____	_____	_____
	5,206,803	04/27/1993	Vitagliano et al.	_____	_____	_____
	5,220,501	06/15/1993	Lawlor et al.	_____	_____	_____
	5,222,018	06/22/1993	Sharpe et al.	_____	_____	_____
	5,224,157	06/29/1993	Yamada et al.	_____	_____	_____
	5,229,850	07/20/1993	Toyoshima	_____	_____	_____
	5,231,571	07/27/1993	D'Agostino	_____	_____	_____
	5,233,654	08/03/1993	Harvey et al.	_____	_____	_____
	5,235,680	08/10/1993	Bijinagte	_____	_____	_____
	5,237,499	08/17/1993	Garback	_____	_____	_____
	5,237,500	08/17/1993	Perg et al.	_____	_____	_____
	5,239,462	08/24/1993	Jones et al.	_____	_____	_____
	5,241,587	08/31/1993	Horton et al.	_____	_____	_____
	5,241,671	08/31/1993	Reed et al.	_____	_____	_____
	5,262,942	11/16/1993	Earle	_____	_____	_____
	5,264,929	11/23/1993	Yamaguchi	_____	_____	_____
	5,283,731	02/01/1994	Lalonde et al.	_____	_____	_____
	5,285,383	02/08/1994	Lindsey et al.	_____	_____	_____
	5,289,275	02/22/1994	Ishii et al.	_____	_____	_____
	5,305,200	04/19/1994	Hartheimer et al.	_____	_____	_____
SW	5,309,355	05/03/1994	Lockwood	_____	_____	_____
	5,319,542	06/07/1994	King, Jr. et al.	_____	_____	_____
SW	5,323,315	06/21/1994	Highbloom	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	5,323,445	06/21/1994	Nakatsuka	_____	_____	_____
	5,335,277	08/02/1994	Harvey et al.	_____	_____	_____
	5,347,632	09/13/1994	Filepp et al.	_____	_____	_____
	5,351,133	09/27/1994	Blonstein	_____	_____	_____
	5,351,186	09/27/1994	Bullock et al.	_____	_____	_____
	5,361,199	11/01/1994	Shoquist et al.	_____	_____	_____
	5,365,577	11/15/1994	Davis et al.	_____	_____	_____
	5,375,055	12/20/1994	Togher et al.	_____	_____	_____
	5,402,336	03/28/1995	Spiegelhoff et al.	_____	_____	_____
	5,410,343	04/25/1995	Coddington et al.	_____	_____	_____
	5,412,708	05/02/1995	Katz	_____	_____	_____
	5,426,281	06/20/1995	Abecassis	_____	_____	_____
	5,440,336	08/08/1995	Buhro et al.	_____	_____	_____
	5,440,624	08/08/1995	Schoof et al.	_____	_____	_____
	5,442,771	08/15/1995	Filepp et al.	_____	_____	_____
	5,450,123	09/12/1995	Smith	_____	_____	_____
	5,451,998	09/19/1995	Hamrick	_____	_____	_____
	5,455,903	10/03/1995	Jolissaint et al.	_____	_____	_____
	5,465,291	11/07/1995	Barrus et al.	_____	_____	_____
	5,475,585	12/12/1995	Bush	_____	_____	_____
	5,485,370	01/16/1996	Moss et al.	_____	_____	_____
	5,500,793	03/19/1996	Deming, Jr. et al.	_____	_____	_____
SW	5,515,424	05/07/1996	Kenney	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,528,281	06/18/1996	Grady et al.	_____	_____	_____
	5,528,513	06/18/1996	Vaitzblit et al.	_____	_____	_____
	5,534,912	07/09/1996	Kostreski	_____	_____	_____
	5,539,448	07/23/1996	Verhille et al.	_____	_____	_____
	5,557,518	09/17/1996	Rosen	_____	_____	_____
	5,592,375	01/07/1997	Salmon et al.	_____	_____	_____
	5,602,905	02/11/1997	Mettke	_____	_____	_____
	5,604,487	02/18/1997	Frymier	_____	_____	_____
	5,568,181	10/22/1996	Greenwood et al.	_____	_____	_____
	5,581,479	12/03/1996	McLaughlin et al.	_____	_____	_____
	5,606,359	02/25/1997	Youden et al.	_____	_____	_____
	5,606,496	02/25/1997	D'Agostino	_____	_____	_____
	5,682,195	10/28/1997	Hendricks et al.	_____	_____	_____
	5,689,553	11/18/1997	Ahuja et al.	_____	_____	_____
	5,710,887	01/20/1998	Chelliah et al.	_____	_____	_____
	5,724,646	03/03/1998	Ganek et al.	_____	_____	_____
	5,758,328	05/26/1998	Giovannoli	_____	_____	_____
	5,793,413	08/11/1998	Hylton et al.	_____	_____	_____
	5,794,207	08/11/1998	Walker et al.	_____	_____	_____
	5,815,146	09/29/1998	Youden et al.	_____	_____	_____
SW	5,845,265	12/01/1998	Woolston	_____	_____	_____
	5,870,724	02/09/1999	Lawlor et al.	_____	_____	_____
SW	5,905,973	05/18/1999	Yonezawa et al.	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	5,973,722	10/26/1999	Wakai et al.	_____	_____	_____

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
		0 188 286	07/1986	EPC	_____	_____		
		0 010 399 A1	04/30/1980	EPC	_____	_____		
		0 029 456	02/07/1991	Japan	_____	_____		
		0 109 198	04/20/1991	Japan	_____	_____		
		Sho 49-73198	07/15/1974	Japan	_____	_____		
		Sho 50-98626	01/10/1974	Japan	_____	_____		
		Sho 50-133892	10/23/1975	Japan	_____	_____		
		Sho 52-72800	11/27/1975	Japan	_____	_____		
		Sho 54-60000	05/15/1979	Japan	_____	_____		
		Sho 57-92254	06/07/1982	Japan	_____	_____		
		WO 89/02139	03/09/1989	PCT	_____	_____		
		GB 2 105 075 A	03/16/1983	United Kingdom	_____	_____		
		1 437 883	06/03/1986	United Kingdom	_____	_____		
		1 504 112	03/15/1978	United Kingdom	_____	_____		
SW		1 504 113	03/15/1978	United Kingdom	_____	_____		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
SW 1	Ackerman, Lorrie F., et al., "The Video Phone: New Life For An Old Idea?" April 1992, pp. 1-47 (paper).
SW 2	"American, IBM, American Express To Test Automatic Ticket Vendor," Aviation Daily, Oct. 30, 1969.
	<del>"An ISDN Primer: Technology And Network Implications," Business Communications Review, 1996.</del>

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FORM PTO-1449	ATTY. DOCKET NO. 6046-101N7	SERIAL NO. 10/687,446
SET I	APPLICANT: RONALD A. KATZ	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE: October 16, 2003	GROUP: 2643
(Use several sheets if necessary)		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
SW 4	Andrade, Juan M., et al. "Open On-line Transaction Processing With The TUXEDO System," <u>UNIX System Laboratories, Digest of Papers Compcon Spring 1992</u> , IEEE Computer Society Press, Feb. 24-28, 1992, pp. 366-371.
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
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	<b>APPLICANT:</b> RONALD A. KATZ	
	<b>FILING DATE:</b> October 16, 2003	<b>GROUP:</b> 2643

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
SW 69	Schrage, Michael, "An Experiment In Economic Theory; Labs Testing Real Markets," <u>The Record</u> , Section B1, Nov. 26, 1989.
↓ 70	"Shopping Via A Network Is No Longer Just Talk," <u>Data Communications</u> , Aug. 1981, p. 43.
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<b>FORM PTO-1449</b> <b>SUPPLEMENTAL I</b> <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 6046-101N7	<b>SERIAL NO.</b> 10/687,446
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	4799156	1/17/1989	Shavit et al.	_____	_____	_____
↓	5384841	1/24/1995	Adams et al.	_____	_____	_____
↓	5576951	11/19/1996	Lockwood	_____	_____	_____
↓	5583928	12/10/1996	Tester et al.	_____	_____	_____
↓	5684870	11/4/1997	Maloney et al.	_____	_____	_____
↓	5721832	2/24/1998	Westrope et al.	_____	_____	_____
↓	5727164	3/10/1998	Kaye et al.	_____	_____	_____
SW	5742931	4/21/1998	Spiegelhoff et al.	_____	_____	_____

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
				_____	_____		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	

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FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
SW	62-190552	08-02-1987	Japan	—	—	X	

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SW 80	"Digital Image Communications At The Practical Stage," <u>Nikkei Communications</u> , Nikkei BP, May 4, 1992, No. 125, pp. 31-37.
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U.S. PATENT DOCUMENTS						
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SW	5127049	06/30/1992	Sabo	_____	_____	_____
↓	5329578	07/12/1994	Brennan et al.	_____	_____	_____
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U.S. PATENT DOCUMENTS							
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SW		5712906	1/27/1998	Grady et al.	_____	_____	_____

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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
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↓	4,897,867	1/30/1990	Foster et al.	379	94	3/15/1988
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